Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

# Securities traded on the NYSE for the period 4/1/2019 through 6/30/2019

### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 %

Market orders as a percentage of total non-direct orders NA

Limit orders as a percentage of total non-direct orders NA

Other orders as a percentage of total non-direct orders NA

Venues Receiving Significant Percentage of Total Non-Directed

# **Information Concerning Significant Venues**

Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

# Securities traded on the OTC for the period 4/1/2019 through 6/30/2019

## Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	64.2 %
Limit orders as a percentage of total non-direct orders	15.1 %
Other orders as a percentage of total non-direct orders	20.7 %

Venues Receiving Significant Percentage of Total Non-Directed

Venues Receiving Significant Pe	ercentage of Total Non-Directed	
International Strategy & Investment Group	23.13 %	
	Market orders as a percentage of total non-direct orders	14.37 %
	Limit orders as a percentage of total non-direct orders	3.69 %
	Other orders as a percentage of total non-direct orders	5.08 %
Goldman, Sachs & Co.	14.85 %	
	Market orders as a percentage of total non-direct orders	7.76 %
	Limit orders as a percentage of total non-direct orders	6.34 %
	Other orders as a percentage of total non-direct orders	0.75 %
Cowen and Company	14.55 %	
	Market orders as a percentage of total non-direct orders	10.41 %
	Limit orders as a percentage of total non-direct orders	0.60 %
	Other orders as a percentage of total non-direct orders	3.54 %
Sanford Bernstein	13.06 %	
	Market orders as a percentage of total non-direct orders	8.48 %
	Limit orders as a percentage of total non-direct orders	0.38 %
	Other orders as a percentage of total non-direct orders	4.21 %
LiquidNet LQNB	10.07 %	
	Market orders as a percentage of total non-direct orders	5.98 %
	Limit orders as a percentage of total non-direct orders	0.60 %

Other orders as a percentage of total non-direct orders

3.49 %

JP Morgan Securities	4.44 %	
	Market orders as a percentage of total non-direct orders	4.27 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.17 %
RBC Capital Markets Corp	. 4.23 %	
	Market orders as a percentage of total non-direct orders	2.63 %
	Limit orders as a percentage of total non-direct orders	0.15 %
	Other orders as a percentage of total non-direct orders	1.45 %
Morgan Stanley	3.94 %	
	Market orders as a percentage of total non-direct orders	2.35 %
	Limit orders as a percentage of total non-direct orders	1.51 %
	Other orders as a percentage of total non-direct orders	0.08 %
Jefferies	2.45 %	
	Market orders as a percentage of total non-direct orders	2.36 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.09 %
Citigroup Global Markets Inc.	1.60 %	
	Market orders as a percentage of total non-direct orders	1.60 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.00 %

# **Information Concerning Significant Venues**

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# Securities traded on the AMEX for the period 4/1/2019 through 6/30/2019

### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 %

Market orders as a percentage of total non-direct orders NA

Limit orders as a percentage of total non-direct orders NA

Other orders as a percentage of total non-direct orders NA

Venues Receiving Significant Percentage of Total Non-Directed

# **Information Concerning Significant Venues**

Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

# Exchange-Listed Options for the period 4/1/2019 through 6/30/2019

#### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 %

Market orders as a percentage of total non-direct orders 0.0 %

Limit orders as a percentage of total non-direct orders 67.7 %

Other orders as a percentage of total non-direct orders 32.3 %

Venues Receiving Significant Percentage of Total Non-Directed

ITG DERIVATIVES, LLC 100.00 %

Market orders as a percentage of total non-direct orders 0.00 %

Limit orders as a percentage of total non-direct orders 67.74 %

Other orders as a percentage of total non-direct orders 32.26 %

# **Information Concerning Significant Venues**