

## **SEC Rule 242.606**

Gilder Gagnon Howe & Co. LLC

475 Tenth Avenue, 12th Floor

New York, New York 10018

## **Securities traded on the NYSE for the period 10/1/2019 through 12/31/2019**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

Gilder, Gagnon Howe & Co. LLC does not receive payment for order flow from any of the venues listed above.

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## Securities traded on the OTC for the period 10/1/2019 through 12/31/2019

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	59.9 %
Limit orders as a percentage of total non-direct orders	13.2 %
Other orders as a percentage of total non-direct orders	27.0 %

### Venues Receiving Significant Percentage of Total Non-Directed

LiquidNet LQNB	28.62 %	
	Market orders as a percentage of total non-direct orders	17.98 %
	Limit orders as a percentage of total non-direct orders	1.24 %
	Other orders as a percentage of total non-direct orders	9.39 %
International Strategy & Investment Group	19.24 %	
	Market orders as a percentage of total non-direct orders	8.90 %
	Limit orders as a percentage of total non-direct orders	2.68 %
	Other orders as a percentage of total non-direct orders	7.67 %
Sanford Bernstein	11.16 %	
	Market orders as a percentage of total non-direct orders	6.91 %
	Limit orders as a percentage of total non-direct orders	0.50 %
	Other orders as a percentage of total non-direct orders	3.76 %
Goldman, Sachs & Co.	6.34 %	
	Market orders as a percentage of total non-direct orders	4.00 %
	Limit orders as a percentage of total non-direct orders	2.18 %
	Other orders as a percentage of total non-direct orders	0.17 %
Credit Suisse Securities (USA)	4.79 %	
	Market orders as a percentage of total non-direct orders	1.65 %
	Limit orders as a percentage of total non-direct orders	3.06 %
	Other orders as a percentage of total non-direct orders	0.08 %

Piper Jeffrey	4.27 %	
	Market orders as a percentage of total non-direct orders	4.26 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.01 %
Morgan Stanley	4.27 %	
	Market orders as a percentage of total non-direct orders	3.39 %
	Limit orders as a percentage of total non-direct orders	0.06 %
	Other orders as a percentage of total non-direct orders	0.82 %
UBS Securities	3.65 %	
	Market orders as a percentage of total non-direct orders	2.40 %
	Limit orders as a percentage of total non-direct orders	0.14 %
	Other orders as a percentage of total non-direct orders	1.11 %
JP Morgan Securities	3.06 %	
	Market orders as a percentage of total non-direct orders	1.98 %
	Limit orders as a percentage of total non-direct orders	0.18 %
	Other orders as a percentage of total non-direct orders	0.91 %
Raymond James & Associates	3.06 %	
	Market orders as a percentage of total non-direct orders	2.58 %
	Limit orders as a percentage of total non-direct orders	0.13 %
	Other orders as a percentage of total non-direct orders	0.34 %

## Information Concerning Significant Venues

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## **Securities traded on the AMEX for the period 10/1/2019 through 12/31/2019**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

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## Exchange-Listed Options for the period 10/1/2019 through 12/31/2019

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	0.2 %
Limit orders as a percentage of total non-direct orders	99.4 %
Other orders as a percentage of total non-direct orders	0.4 %

### Venues Receiving Significant Percentage of Total Non-Directed

International Strategy & Investment Group	99.56 %	
	Market orders as a percentage of total non-direct orders	0.18 %
	Limit orders as a percentage of total non-direct orders	99.38 %
	Other orders as a percentage of total non-direct orders	0.00 %
ITG DERIVATIVES, LLC	0.44 %	
	Market orders as a percentage of total non-direct orders	0.00 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.44 %

## Information Concerning Significant Venues

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